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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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APR 8 2003  
TC 1700

In re Application of:

SHRIM ET AL.

Serial No. 09/868,362

Filed: June 15, 2001

For: BATTERY PACK DESIGN FOR  
METAL-AIR BATTERY CELL

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97–1.98, information relating to the above-identified application is hereby disclosed. The accompanying Form PTO-1449 provides a listing of documents which may be relevant to the subject application.

It is requested that the Examiner fully consider the art cited in the accompanying Form 1449, initial the left-most column of the form adjacent each cited reference, and return a copy for Applicants' records. It is further requested that the art be cited on the cover of any patent issuing from the subject application.

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

10/3/01  
Date of Deposit

Christina Kavanagh  
Christina Kavanaugh

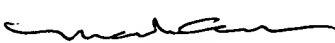
In accordance with §1.97(b), this Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits of the above-identified application, and therefore no fee is required. If a first Office Action has been mailed, then please enter this Information Disclosure Statement and charge Deposit Account No. 12-2475 for any necessary fees.

Copies of each of the references listed on the attached Form PTO-1449 that are non-U.S. are enclosed herewith. This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made. Nor does this statement constitute an admission by Applicants or Applicants' agent that the information provided herein is necessarily prior art to Applicants' invention. Moreover, Applicants reserve the right to establish the patentability of the claimed invention over any of the listed documents should they be applied there-against as references. Please charge any deficiency or credit any overpayment to Deposit Account No. 12-2475.

Respectfully submitted,

LYON & LYON

Dated: 10/3/01

By   
Mark A. Catan  
Reg. No. 38,720  
Attorneys for Applicant

MAC/tk  
633 West Fifth Street, 47th Floor  
Los Angeles, CA 90071-2066  
(914) 681-8851

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LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT

APPLICANT:

Shrim et al.

FILING DATE:

June 15, 2001

GROUP:

(Use several sheets if necessary)

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TC 1700

OCT 05 2001

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL | DOCUMENT NUMBER | DATE     | NAME                 | CLASS | SUB<br>CLASS | FILING<br>DATE |
|---------------------|-----------------|----------|----------------------|-------|--------------|----------------|
| AA                  | 6,157,173       | 12/05/00 | Baranowski et al.    |       |              |                |
| AB                  | 6,130,519       | 10/10/00 | Whiting et al.       |       |              |                |
| AC                  | 6,127,061       | 10/03/00 | Shun et al.          |       |              |                |
| AD                  | 6,118,250       | 09/12/00 | Hutchison, IV et al. |       |              |                |
| AE                  | 6,106,962       | 08/22/00 | Pedicini et al.      |       |              |                |
| AF                  | 6,060,196       | 05/09/00 | Gordon et al.        |       |              |                |
| AG                  | 6,051,957       | 04/18/00 | Klein                |       |              |                |
| AH                  | 6,051,328       | 04/18/00 | Witzigreuter et al.  |       |              |                |
| AI                  | 6,043,626       | 03/28/00 | Snyder et al.        |       |              |                |
| AJ                  | 5,998,961       | 12/07/99 | Brown                |       |              |                |
| AK                  | 5,919,582       | 07/06/99 | Pedicini et al.      |       |              |                |
| AL                  | 5,891,589       | 04/06/99 | Witzigreuter et al.  |       |              |                |
| AM                  | 5,888,664       | 03/30/99 | Sieminski et al.     |       |              |                |
| AN                  | 5,849,426       | 12/15/98 | Thomas et al.        |       |              |                |
| AO                  | 5,795,667       | 08/18/98 | McKenzie et al.      |       |              |                |
| AP                  | 5,786,106       | 07/28/98 | Armani               |       |              |                |
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| AS                  | 5,738,919       | 04/14/98 | Thomas et al.        |       |              |                |
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APPLICANT:

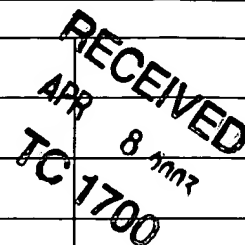
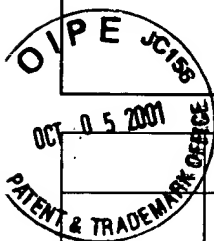
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FILING DATE:

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| AW | 5,691,742 | 11/25/97 | O'Conner et al.  |  |  |
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| BC | 5,604,050 | 02/18/97 | Brunette et al.  |  |  |
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| BI | 5,458,988 | 10/17/95 | Putt             |  |  |
| BJ | 5,451,473 | 09/19/95 | Oltman et al.    |  |  |
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| BO | 5,378,562 | 01/03/95 | Passaniti et al. |  |  |
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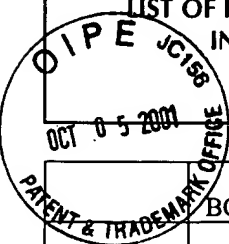
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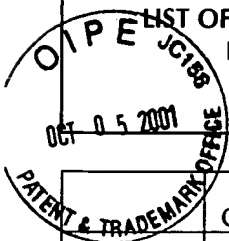
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| CO | Des. 379,962 | 06/17/97 | Nuovo et al.    |  |  |

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## FOREIGN PATENT DOCUMENTS

| EXAMINER<br>INITIAL |    | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUB<br>CLASS | TRANSLATION<br>YES NO |  |
|---------------------|----|-----------------|----------|---------|-------|--------------|-----------------------|--|
|                     | CP | WO 00/44057 /   | 07/27/00 | WIPO    |       |              |                       |  |
|                     | CQ | WO 00/38267 /   | 06/29/00 | WIPO    |       |              |                       |  |
|                     | CR | WO 00/38266 /   | 06/29/00 | WIPO    |       |              |                       |  |
|                     | CS | WO 00/36698 /   | 06/22/00 | WIPO    |       |              |                       |  |
|                     | CT | WO 00/36696 /   | 06/22/00 | WIPO    |       |              |                       |  |
|                     | CU | WO 00/36695 /   | 06/22/00 | WIPO    |       |              |                       |  |
|                     | CV | WO 00/36694 /   | 06/22/00 | WIPO    |       |              |                       |  |
|                     | CW | WO 00/24108 /   | 04/27/00 | WIPO    |       |              |                       |  |
|                     | CX | WO 00/24078 /   | 04/27/00 | WIPO    |       |              |                       |  |
|                     | CY | WO 00/23810 /   | 04/27/00 | WIPO    |       |              |                       |  |
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|                     | DC | WO 93/12554 /   | 06/24/93 | WIPO    |       |              |                       |  |
|                     | DD | EP 0 997 742    | 05/03/00 | EPO     |       |              |                       |  |
|                     | DE | EP 0 677 883 A1 | 10/18/95 | EPO     |       |              |                       |  |
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| DG | EP 0 265 242 A2 | 04/27/88 | EPO   |  |  |  |  |  |
| DH | JP 2000206214   | 07/28/00 | JAPAN |  |  |  |  |  |
| DI | JP 2000188833   | 07/04/00 | JAPAN |  |  |  |  |  |
| DJ | JP 10189283     | 07/21/98 | JAPAN |  |  |  |  |  |
| DK | JP 10032933     | 02/03/98 | JAPAN |  |  |  |  |  |
| DL | JP 09215224     | 08/15/97 | JAPAN |  |  |  |  |  |
| DM | JP 08237939     | 09/13/96 | JAPAN |  |  |  |  |  |
| DN | JP 08242546     | 09/17/96 | JAPAN |  |  |  |  |  |
| DO | JP 08227732     | 09/03/96 | JAPAN |  |  |  |  |  |

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